



1756

#3 IDS  
of 406 p. 10  
3-27-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

In-Kyeong YOO

Group Art Unit: 1756

Serial No. 09/865,607

Examiner:

Filed: May 29, 2001

Confirmation No. 4147

For: METHOD AND APPARATUS FOR EMISSION LITHOGRAPHY  
USING PATTERNED EMITTER

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 (*modified*) is a listing of a document known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. §1.56. This reference corresponds to the art cited during prosecution of parent application Serial No. 09/619,526, having a filing date of July 19, 2000, on which applicant relies under 35 U.S.C. 120. Accordingly, copies of the references need not be submitted; 37 C.F.R. §1.98(d)(1).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

☒ The listed document(s) is/are being cited in compliance with 37 C.F.R. 1.97(b)(3): submission before the mailing date of the first action on the merits. [Box checked if applicable.]

☐ The foregoing document came to applicant's attention during the prosecution of their corresponding Korean application. [Box checked if applicable.]

RECEIVED  
FEB 19 2003  
TC 1700 MAIL ROOM

RECEIVED  
FEB 26 2003  
TC 2800 MAIL ROOM



### CERTIFICATION

☐ The undersigned hereby certifies in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. [Box checked if applicable.]

Applicant respectfully requests that the listed document(s) be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

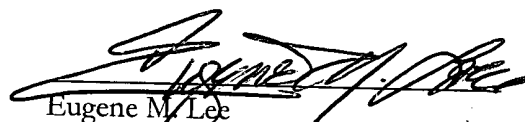
### FEE PAYMENT

- ☐ The fee mandated by 37 C.F.R. §1.17(p) is believed applicable. [Box checked if applicable.]
- ☐ Attached is a check in payment of this fee (\$180.00). [Box checked if applicable.]
- ☐ This fee may be charged to Deposit Account No. 50-1645. (A duplicate copy of this paper is enclosed.) [Box checked if applicable.]

Respectfully submitted,

February 12, 2003

Date

  
Eugene M. Lee  
Registration No. 32,039  
Richard A. Sterba  
Registration No. 43,162

LEE & STERBA, P.C.  
1101 WILSON BOULEVARD, SUITE 2000  
ARLINGTON, VA 22209  
703.525.0978 TEL  
703.525.4265 FAX

### DEPOSIT ACCOUNT CHARGE AUTHORIZATION

If fee payment is enclosed, this amount is believed to be correct. However, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-1645.

Any additional fee(s) necessary to effect the proper and timely filing of the above-paper may also be charged to Deposit Account No. 50-1645.

RECEIVED  
FEB 19 2003  
TC 1700 MAIL ROOM

RECEIVED  
FEB 26 2003  
TC 2800 MAIL ROOM